

Concerning Weighted Approximation, Vector Fibrations, and Algebras of Operators

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1. INTRODUCTION

In this article we present a different approach in proving the results contained in our previous paper [6]. These results were concerned with weighted locally convex spaces of cross sections and with algebras of operators. (See Section 2 for definitions.) The viewpoint we shall adopt here consists in firstly proving the so-called bounded case of the weighted approximation problem, and then use it to treat the general case. This approach corresponds to the one used in [4] for the case of modules of continuous functions, whereas the approach of [6] corresponds to the one used in [5].

The weighted spaces of cross sections contain as a particular case the weighted spaces of vector-valued functions. For these it is possible to generalize many of the results about scalar-valued functions which do not generalize to cross sections. For such generalizations see [8], where the weighted Dieudonné theorem for density in tensor products is treated; [9], where the dual of a weighted space of continuous vector-valued functions on a locally compact space is determined; and [10], which concerns the non-self-adjoint bounded case of the weighted approximation problem.

2. WEIGHTED LOCALLY CONVEX SPACES OF CROSS-SECTIONS

A *vector fibration* is a pair $(E, (F_x)_{x \in E})$ where E is a Hausdorff space and $(F_x)_{x \in E}$ is a family of vector spaces, each vector space over the same field \mathbf{K} of scalars ($\mathbf{K} = \mathbf{R}$ or \mathbf{C}). By a *cross section over E* we mean any element of the Cartesian product $\prod_{x \in E} F_x$, i.e., any function f defined on E , and such that $f(x) \in F_x$ for all $x \in E$. The Cartesian product $\prod_{x \in E} F_x$ is made a vector space in the usual way, and a vector space of cross sections over E is, by definition, any vector subspace of $\prod_{x \in E} F_x$.

A *weight on E* is a function v defined on E and such that $v(x)$ is a seminorm over F_x for each $x \in E$. A set V of weights on E is said to be *directed* if, for every pair $v_1, v_2 \in V$, there exist $v \in V$ and $t > 0$ such that $v_i(x) \leq tv(x)$ for all $x \in E$, $i = 1, 2$. From now on we shall consider only directed sets of weights.

If f is a cross section over E and v is a weight on E , we will denote by $v[f]$ the positive valued function defined on E by $x \mapsto v(x)[f(x)]$.

If X is a subset of E , then $(X, \prod_{x \in X} F_x)$ is a vector fibration, and for any cross section f over E , its restriction $f|X$ is a cross section over X . Similarly, if v is a weight on E , its restriction $v|X$ is a weight on X , and obviously $v|X[f|X] = v[f]|X$. If L is a vector space of cross sections over E , we will denote by $L|X$ the vector space of all $f|X$ where f ranges over L . Obviously $L|X$ is a vector space of cross sections over X . Similarly, we denote by $V|X$ the set of all restrictions $v|X$ where v ranges over V .

DEFINITION 1. Let L be vector space of cross sections over E . A weight v on E is said to be

- (1) L -bounded,
- (2) L -upper semicontinuous,
- (3) L -null at infinity,

in case the function $v[f]$ is, respectively,

- (1) bounded on E ,
- (2) upper semicontinuous on E ,
- (3) null at infinity on E , for every cross section $f \in L$.

From this definition it follows that any weight v which is L -bounded determines a seminorm over L , namely,

$$f \mapsto \|f\|_v = \sup\{v(x)[f(x)]; x \in E\}.$$

Notice also that if a weight v is L -upper semicontinuous and L -null at infinity, then v is L -bounded.

DEFINITION 2. Let L be a vector space of cross sections over E , and let V be a directed set of weights which are L -bounded. We will denote by LV_b the locally convex space obtained by endowing L with the topology determined by the family of seminorms $f \mapsto \|f\|_v$, where v ranges over V . If the weights $v \in V$ are L -upper semicontinuous and L -null at infinity, LV_∞ will denote the locally convex space obtained as above. The spaces LV_b and LV_∞ are called weighted locally convex spaces of cross sections.

Since we assumed V to be directed, the sets of the form $\{f \in L; \|f\|_v \leq \epsilon\}$, where $v \in V$ and $\epsilon > 0$, form a basis of neighborhoods of the origin in LV_b or LV_∞ .

When X is a closed subset of E and v is an L -upper semicontinuous weight on E , then $v|X$ is $(L|X)$ -upper semicontinuous. Similar properties hold for weights that are L -bounded or L -null at infinity. Hence if LV_b or LV_∞ are defined, then $(L|X)(V|X)_b$ or $(L|X)(V|X)_\infty$ are also defined. We will denote such spaces simply by $LV_b|X$ and $LV_\infty|X$, respectively. For more details, see [1, 6].

3. THE WEIGHTED APPROXIMATION PROBLEM

The vector space $\prod_{x \in E} F_x$ of all cross sections is an A -module, for any subalgebra $A \subset \mathcal{C}(E; \mathbf{K})$, under the following multiplication operation: if $u \in A$ and f is a cross section, then uf is the cross section whose value at $x \in E$ is $u(x)f(x)$. If W is a vector space of cross sections, we say that W is an A -module if W is an A -submodule of $\prod_{x \in E} F_x$.

Given an A -module $W \subset LV_\infty$, the *weighted approximation problem* consists, then, in asking for a description of the closure of W in LV_∞ ; and, in particular, in finding necessary and sufficient conditions for W to be dense in LV_∞ .

In the special case in which A consists only of constant functions, an A -module is, in general, only a vector subspace of LV_∞ . In such a case, the only thing we can do is the following: Once the dual of LV_∞ is known, apply the Hahn-Banach theorem.

We shall try to reduce the general case to this special one by looking at the subsets of E on which the functions of A are constant, namely, the equivalence classes $X \subset E$ modulo the equivalence relation: $x_1 \sim x_2$, whenever $x_1, x_2 \in E$ and $u(x_1) = u(x_2)$ for all $u \in A$. We shall denote this equivalence relation by E/A .

DEFINITION 3. An A -module $W \subset LV_\infty$ is said to be localizable under A in LV_∞ if its closure in LV_∞ consists of those $f \in L$ for which $f|X$ belongs to the closure of $W|X$ in $LV_\infty|X$ for each equivalence class $X \subset E$ modulo E/A .

The *strict weighted approximation problem* consists, then, in asking for necessary and sufficient conditions in order that W be localizable under A in LV_∞ .

Suppose that $A \subset \mathcal{C}(E; \mathbf{K})$ is *separating on E* , that is : if $x, y \in E, x \neq y$, there exists $a \in A$ such that $a(x) \neq a(y)$. Let $W \subset LV_\infty$ be an A -module which is localizable under A in LV_∞ . It follows from the above definitions that in this case W is dense in LV_∞ if and only if, for each $x \in E, W(x) = \{w(x); w \in W\}$ is dense in $L(x) = \{f(x); f \in L\} \subset F_x$, where F_x is endowed with the topology determined by the family of seminorms $V(x) = \{v(x); v \in V\}$.

4. THE SEPARATING CASE

Let LV_∞ be a weighted locally convex space of crosssections and $W \subset LV_\infty$ an A -module. Let F be the quotient space of E by the equivalence relation E/A and let $\pi_* : \mathcal{C}(F; \mathbf{K}) \rightarrow \mathcal{C}(E; \mathbf{K})$ be the induced homomorphism defined by $\pi_*(b) = b \cdot \pi$ for all $b \in \mathcal{C}(F; \mathbf{K})$. Then $B = \pi_*^{-1}(A)$ is a subalgebra of $\mathcal{C}(F; \mathbf{K})$ which is separating on F . Hence F is a Hausdorff space. For every $y \in F, \pi^{-1}(y)$ is a closed subset of E . Let $(F, (G_v)_{v \in F})$ be the vector fibration obtained by defining $G_y = L | \pi^{-1}(y)$. For every weight $v \in V$, we define a corresponding weight u on F , by setting

$$(*) \quad u(y)[f | \pi^{-1}(y)] = \sup\{v(x)[f(x)]; x \in \pi^{-1}(y)\}.$$

Let $M \subset \prod_{v \in F} G_v$ be the vector subspace of cross sections over F , given by $\{(f | \pi^{-1}(y)); f \in L\}$, and let U be the set of weights u defined by $(*)$ where v ranges over V . Then each weight $u \in U$ is M -upper semicontinuous and M -null at infinity. This fact results from the following

LEMMA (Lemma 1 [6]). *Let E and F be two Hausdorff spaces and $\pi : E \rightarrow F$ a continuous mapping from E onto F . For any upper semicontinuous function $g : E \rightarrow \mathbf{R}_+$ that vanishes at infinity, let $h : F \rightarrow \mathbf{R}_+$ be defined by*

$$h(y) = \sup\{g(x); x \in \pi^{-1}(y)\}$$

for all $y \in F$. Then h is upper semicontinuous and vanishes at infinity on F .

Hence we may consider the weighted space MU_∞ . If we define $X = \{(w | \pi^{-1}(y)); w \in W\}$, then $X \subset MU_\infty$ and is a B -module.

THEOREM 1. *W is localizable under A in LV_∞ if and only if, X is localizable under B in MU_∞ .*

Remark 1. Theorem 1 confirms the conjecture stated in [3], namely, that

the separating and the general cases of the strict weighted approximation problem are equivalent. This together with the final comments in Section 3 establish that corresponding to every sufficient condition for localizability there is a corollary on density in the separating case.

The argument used to prove Theorem 1 of [6] applies here, too, with only a slight modification.

5. THE BOUNDED CASE

From now on, E denotes a completely regular Hausdorff space.

DEFINITION 4. In the notation of Definition 3, the bounded case of the weighted approximation problem occurs when every $a \in A$ is bounded on the support of every $v \in V$. Each of the following hypotheses leads to an instance of the bounded case:

$$A \subset \mathcal{C}_b(E; \mathbf{K}); \quad (1)$$

$$\text{each } v \in V \text{ has a compact support.} \quad (2)$$

THEOREM 2. Assume that A is self-adjoint, in the complex case, and that we are in the bounded case. Then W is localizable under A in LV_∞ .

Proof. Let $f \in LV_\infty$ be such that $f|X$ belongs to the closure of $W|X$ in $LV_\infty|X$, for each equivalence class $X \subset E$ modulo E/A . Let $v \in V$ and $\epsilon > 0$ be given. We may assume $A \subset \mathcal{C}_b(E; \mathbf{K})$ by replacing E by the support of v , if necessary. Given any equivalence class $X \subset E$ modulo E/A , there exists some $w_X \in W$ such that

$$v(x)[f(x) - w_X(x)] < \epsilon$$

for any $x \in X$. The closed set $K_X = \{x \in E; v(x)[f(x) - w_X(x)] \geq \epsilon\}$ is compact, since $v[f - w_X]$ vanishes at infinity. Moreover, X and K_X are disjoint. By Lemma 1 [4], there is a finite set \mathcal{L} of equivalence classes in E modulo E/A , and functions φ_X belonging to the closure of A in $\mathcal{C}_b(E; \mathbf{K})$ such that $\varphi_X \geq 0$ and $\varphi_X|K_X = 0$ for all $X \in \mathcal{L}$ and $\sum_{X \in \mathcal{L}} \varphi_X = 1$. Notice that

$$\varphi_X(x) v(x)[f(x) - w_X(x)] \leq \epsilon \varphi_X(x) \quad (3)$$

for any $x \in E$ and $X \in \mathcal{L}$. In fact, either $x \in K_X$ and then $\varphi_X(x) = 0$; or else $x \notin K_X$, in which case $v(x)[f(x) - w_X(x)] < \epsilon$. In both cases, (3) holds true. Hence

$$v(x) \left[\sum_{X \in \mathcal{L}} \varphi_X(x) w_X(x) - f(x) \right] \leq \epsilon, \quad (4)$$

for any $x \in E$. If \mathcal{L} has k elements, let $\delta > 0$ be such that $\delta k M \leq \epsilon$, where M is the maximum of $\|w_X\|_v$ for X ranging over \mathcal{L} . For each $X \in \mathcal{L}$ there exists some $a_X \in A$ such that $|a_X(x) - \varphi_X(x)| \leq \delta$ for all $x \in E$. Hence

$$v(x) \left[\sum_{X \in \mathcal{L}} a_X(x) w_X(x) - f(x) \right] \leq 2\epsilon$$

for all $x \in E$. Since $AW \subset W$, $w = \sum_{X \in \mathcal{L}} a_X w_X$ belongs to W , and therefore f belongs to the closure of W in LV_∞ . Q.E.D.

6. SUFFICIENT CONDITIONS FOR LOCALIZABILITY

We will denote by $\mathcal{P}(\mathbf{R}^n)$ the algebra of all \mathbf{R} -valued polynomials on \mathbf{R}^n . A *weight on \mathbf{R}^n* is an upper semicontinuous positive real-valued function on \mathbf{R}^n . A weight ω on \mathbf{R}^n is said to be *rapidly decreasing at infinity* when $\mathcal{P}(\mathbf{R}^n) \subset \mathcal{C}\omega_b(\mathbf{R}^n)$, or equivalently $\mathcal{P}(\mathbf{R}^n) \subset \mathcal{C}\omega_\infty(\mathbf{R}^n)$. If, in addition to this, $\mathcal{P}(\mathbf{R}^n)$ is dense in $\mathcal{C}\omega_\infty(\mathbf{R}^n)$, then ω is said to be a *fundamental weight*. We shall denote by Ω_n the set of all fundamental weights on \mathbf{R}^n , and by Γ_n the subset of Ω_n consisting of all $\gamma \in \Omega_n$ such that $\gamma^k \in \Omega_n$, for all $k > 0$.

We shall consider \mathbf{R}^n as a vector lattice in the usual way: if $u = (u_1, \dots, u_n)$ and $t = (t_1, \dots, t_n)$ belong to \mathbf{R}^n , we write $u \leq t$ provided $u_i \leq t_i$ for all $i = 1, 2, \dots, n$; and define $|u| = (|u_1|, \dots, |u_n|)$. A real-valued function φ defined on \mathbf{R}^n is then said to be *modulus-decreasing* if $u, t \in \mathbf{R}^n$ and $|u| \leq |t|$ imply $\varphi(u) \geq \varphi(t)$. Denote by Ω_n^a the subset of Ω_n consisting of those fundamental weights which are decreasing, and by Γ_n^a the intersection $\Gamma_n \cap \Omega_n^a$.

If A is a subalgebra of $\mathcal{C}(E; \mathbf{K})$ containing the constants, $G(A)$ will denote a subset of A which topologically generates A as an algebra over \mathbf{K} with unity, i.e., the subalgebra over \mathbf{K} of A , generated by $G(A)$ and 1, is dense in A for the compact-open topology of $\mathcal{C}(E; \mathbf{K})$. Similarly, if $W \subset LV_\infty$ is an A -module, $G(W)$ will denote a subset of W which topologically generates W as a module over A , i.e., the submodule over A of W , generated by $G(W)$, is dense in W for the topology of LV_∞ .

THEOREM 3. *Suppose that there exist $G(A)$ and $G(W)$ such that*

- (1) $G(A)$ consists of real-valued functions;
- (2) given any $v \in V$; $a_1, \dots, a_n \in G(A)$ and $w \in G(W)$, there exist $a_{n+1}, \dots, a_N \in G(A)$, where $N \geq n$, and $\omega \in \Omega_N$, such that

$$v(x)[w(x)] \leq \omega(a_1(x), \dots, a_n(x), \dots, a_N(x))$$

for all $x \in E$.

Then W is localizable under A in LV_∞ .

Remark 2. The above theorem reduces the search for sufficient conditions for localizability on a completely regular space to the search of sufficient conditions for a weight on \mathbf{R}^n to be fundamental. Theorem 3 follows from Theorem 2 in the same manner as Theorem 2 follows from Theorem 1 [4]. An independent proof of Theorem 3 can be modeled on the proof of Theorem 1 [5, § 26], an approach that was indicated in [6]. Our next theorem is a slight variation of Theorem 3, dropping the hypothesis (1) in the complex case.

THEOREM 4. *Suppose that A is self-adjoint in the complex case, and that there exist $G(A)$ and $G(W)$ such that, given any $v \in V$, $a_1, \dots, a_n \in G(A)$ and $w \in G(W)$, there exist $a_{n+1}, \dots, a_N \in G(A)$, where $N \geq n$ and $\omega \in \Omega_N^d$, such that*

$$v(x)[w(x)] \leq \omega(|a_1(x)|, \dots, |a_n(x)|, \dots, |a_N(x)|)$$

for all $x \in E$. Then W is localizable under A in LV_∞ .

Our next two theorems reduce the search for sufficient conditions for localizability of modules to the search for fundamental weights on \mathbf{R} , i.e., to the one-dimensional Bernstein approximation problem.

THEOREM 5. *Suppose that there exist $G(A)$ and $G(W)$ such that*

- (1) $G(A)$ consists of real-valued functions;
- (2) given any $v \in V$, $a \in G(A)$ and $w \in G(W)$, there exists $\gamma \in \Gamma_1$ such that, for all $x \in E$:

$$v(x)[w(x)] \leq \gamma(a(x)).$$

Then W is localizable under A in LV_∞ .

THEOREM 6. *Assume that A is self-adjoint in the complex case, and that there exist $G(A)$ and $G(W)$ such that, given any $v \in V$, $a \in G(A)$ and $w \in G(W)$, there exists $\gamma \in \Gamma_1^d$ such that*

$$v(x)[w(x)] \leq \gamma(|a(x)|)$$

for all $x \in E$. Then W is localizable under A in LV_∞ .

Remark 3. The above theorem combined with classical results concerning the Bernstein problem allows one to find practical sufficient conditions for localizability.

THEOREM 7 (analytic criterion for localizability). *Assume that A is self-adjoint in the complex case, and that there exist $G(A)$ and $G(W)$ such that, given*

any $v \in V$, $a \in G(A)$ and $w \in G(W)$, there exist constants $C > 0$ and $c > 0$ such that, for all $x \in E$:

$$v(x)[w(x)] \leq C e^{-c|a(x)|}.$$

Then W is localizable under A in LV_∞ .

THEOREM 8 (quasi-analytic criterion for localizability). *Assume that A is self-adjoint in the complex case, and that there exist $G(A)$ and $G(W)$ such that, given any $v \in V$, $a \in G(A)$ and $w \in G(W)$, we have*

$$\sum_{m=1}^{\infty} (M_m)^{-1/m} = +\infty$$

where $M_m = \sup\{v(x)[a^m(x)w(x)]; x \in E\}$ for $m = 0, 1, 2, \dots$. Then W is localizable under A in LV_∞ .

Remark 4. Theorem 7 is based on the uniqueness of analytic continuation, whereas Theorem 8 rests on the Denjoy–Carleman theorem.

If there exist $G(A)$ and $G(W)$ such that every $a \in G(A)$ is bounded on the support of the function $v[w]$, for any $v \in V$ and $w \in G(W)$, it follows from Theorem 7 that W is localizable under A in LV_∞ . This result extends Theorem 2.

7. ALGEBRAS OF OPERATORS

In what follows, \mathcal{L} denotes a locally convex Hausdorff space over \mathbf{K} , and \mathcal{O} denotes a commutative algebra of linear operators over \mathcal{L} , not necessarily continuous. We further assume that \mathcal{O} contains the identity operator.

DEFINITION 5. The point co-spectrum of \mathcal{O} is the set of all homomorphisms h of \mathcal{O} onto \mathbf{K} for which there exists $\varphi \in \mathcal{L}'$, $\varphi \neq 0$, such that $\varphi(u(x)) = h(u) \varphi(x)$ for all $u \in \mathcal{O}$, and $x \in \mathcal{L}$.

The point cospectrum of \mathcal{O} is also the set of all homomorphisms h of \mathcal{O} onto \mathbf{K} for which there exists $\varphi \in \mathcal{L}'$, $\varphi \neq 0$, such that $\varphi(u(x)) = 0$ for all u in the kernel of h , and x in \mathcal{L} . Or, equivalently, the set of all homomorphisms h of \mathcal{O} onto \mathbf{K} such that the closed vector subspace S_h of \mathcal{L} spanned by $\{u(x); u \in h^{-1}(0), x \in \mathcal{L}\}$ is a proper vector subspace of \mathcal{L} .

We shall endow the point cospectrum of \mathcal{O} with the weakest topology under which all the functions \tilde{u} defined on it by $\tilde{u}(h) = h(u)$ are continuous, where u ranges over \mathcal{O} . This topology is a Hausdorff one, and we shall denote by E the point cospectrum of \mathcal{O} endowed with this topology. For each $h \in E$,

consider the quotient vector space $F_h = \mathcal{L}/S_h$, and let $x \mapsto x_h$ be the associated quotient map. Then, for each $x \in \mathcal{L}$, the family $(x_h)_{h \in E}$ is a cross-section over E , which we shall denote by $\Phi(x)$. The mapping Φ from \mathcal{L} into $\prod_{h \in E} F_h$ is obviously linear. Let $L = \Phi(\mathcal{L})$. For each continuous seminorm p over \mathcal{L} , let p_h denote the quotient seminorm defined by

$$p_h(x_h) = \inf\{p(y); y \in x_h\}$$

for all $x_h \in F_h$. The mapping $h \mapsto p_h$ is then a weight over E , and we will denote by $V(\Gamma)$ the set of all such weights, where p ranges over a set Γ of continuous seminorms of \mathcal{L} which determine the topology of \mathcal{L} . Notice that every weight in $V(\Gamma)$ is L -bounded, for $p_h(x_h) \leq p(x)$ for all $h \in E$. Hence we may consider the weighted space $LV(\Gamma)_b$.

The above inequality also shows that Φ is a continuous map from \mathcal{L} onto $LV(\Gamma)_b$. On the other hand, the mapping $u \mapsto \tilde{u}$ is a homomorphism of \mathcal{A} into $\mathcal{C}(E; \mathbf{K})$. Let A denote the image of \mathcal{A} under this homomorphism. Notice that A is separating over E , and that $\Phi(u(x)) = \tilde{u} \cdot \Phi(x)$ for all $u \in \mathcal{A}$ and $x \in \mathcal{L}$. Hence L is an A -module, and $u \mapsto \tilde{u}$ is an isomorphism whenever Φ is an isomorphism. The following representation theorem establishes a condition under which Φ is a topological vector isomorphism.

THEOREM 9. *A necessary and sufficient condition for the existence of a set Γ of seminorms over \mathcal{L} , which determines the topology of \mathcal{L} , such that Φ is a topological vector isomorphism between \mathcal{L} and $LV(\Gamma)_b$ is that \mathcal{L} be locally convex under \mathcal{A} with respect to the category of all algebras isomorphic to \mathbf{K} .*

Remark 5. The above notion of local convexity was introduced in [2]. In order to be able to represent \mathcal{L} as an $LV(\Gamma)_\infty$ space, additional hypotheses on the seminorms of Γ must be satisfied namely, for each $p \in \Gamma$ the function $h \rightarrow p_h(x_h)$ must be upper semicontinuous and null at infinity, for every $x \in \mathcal{L}$. Once L has been represented as an $LV(\Gamma)_\infty$, we may define localizability under \mathcal{A} for \mathcal{A} -invariant subspaces, and consider the problem of finding necessary and sufficient conditions for a given \mathcal{A} -invariant subspace to be dense in \mathcal{L} . Furthermore, we may ask when spectral synthesis holds, i.e., when a proper closed \mathcal{A} -invariant subspace is the intersection of all the proper closed \mathcal{A} -invariant subspaces of codimension one containing it. The following theorem answers this question (see [6], [7].)

THEOREM 10. *Let \mathcal{L} be a space which can be represented as an $LV(\Gamma)_\infty$, and let \mathcal{W} be a proper closed \mathcal{A} -invariant subspace which is localizable under \mathcal{A} in \mathcal{L} . Then \mathcal{W} is contained in some proper closed \mathcal{A} -invariant subspace of codimension one and is the intersection of all proper closed \mathcal{A} -invariant subspaces of codimension one which contain it.*

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